## **COPY OF PAPERS ORIGINALLY FILED**

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants	:	Goldstein, et al.	) Group Art Unit UNKNOWN
App. No.	:	10/038,098	)
Filed	:	October 19, 2001	)
For	:	TRANSACTION BREAKDOWN FEATURE TO FACILITATE ANALYSIS OF END USER PERFORMANCE OF A SERVER SYSTEM	
Examiner	:	UNKNOWN	) ) )
			,

### <u>INFORMATION DISCLOSURE STATEMENT</u>

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Enclosed is form PTO-1449 listing sixteen (16) references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: (-28-0)

sy:

Ronald J. Schoenbaum

Registration No. 38,297

Attorney of Record

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(949) 760-0404

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**PATENT** 

Case Docket No. MERCURY.140A1

I hereby certify that this correspondence and all

marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner

for Patents, Washington, D.C. 20231, on

Date: January 28, 2002

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Goldstein, et al.

Appl. No.

10/038,098

Filed

October 19, 2001

For

TRANSACTION

**BREAKDOWN FEATURE** 

TO FACILITATE

ANALYSIS OF END USER PERFORMANCE OF A SERVER SYSTEM

Examiner

**UNKNOWN** 

Group Art Unit:

UNKNOWN

#### TRANSMITTAL LETTER

# ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are the following documents:

- (X) An Information Disclosure Statement;
- (X) A PTO Form 1449 listing sixteen (16) references, copies of which are enclosed; and
- (X) A return prepaid postcard.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Ronald J. Schoenbaum

Registration No. 38297

Attorney of Record

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

BY APPLICANT

JSESEVERAL SHEETS IF NECESSARY)

ATTY. DOCKET NO. MERCURY.140A1

COPY OF PAPERS 10/038,098 ORIGINALLY FILED

APPLICANTS
Goldstein, et al.

FILING DATE October 19, 2001 GROUP ART UNIT UNKNOWN

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	5,446,874	08/29/95	Waclawsky, et al.			<u> </u>
	5,544,310	08/06/96	Forman, et al.			
	5,742,754	04/21/98	Tse			
	5,781,703	07/14/98	Desai, et al.			
	5,787,254	07/28/98	Maddalozzo, Jr., et al.			<del></del>
	5,812,780	09/22/98	Chen, et al.			
	5,864,662	01/26/99	Brownmiller, et al.			
	5,905,868	05/18/99	Baghai, et al.			
	6,006,260	12/21/99	Barrick, Jr., et al.			
	6,108,700	08/22/00	Maccabee, et al.	-		
	6,138,157	10/24/00	Welter, et al.			
	US 6,205,413 B1	03/20/01	Bisdikian, et al.			

	FOREIGN PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
			<u> </u>			•	

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)				
	V. Mainkar, "Availability Analysis of Transaction Processing Systems based on User-Perceived Performance," The 16 <sup>th</sup> Symposium on Reliable Distributed Systems, IEEE Computer Society, 1997, pp. 10-17.				
	N. Randall, PC Magazine, "Web Site Analysis Tools The Results Are In," dated March 10, 1998, pages 189 – 210.				
	Article by Patrick Killelea, dated January 15, 1999, titled "Web Performance Measuring Tools and Services," printed from Webreview.com site.				
	LoadRunner Controller User's Guide – Windows Version 6.0, pages 1-49, 278-344, and 558, (dated 1999).				

#### H:\DOCS\ROS\ROS-3865.DOC\dns\012802

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.